

# MFSA

MALTA FINANCIAL SERVICES AUTHORITY

Licence No.: IS/ 38210

The Malta Financial Services Authority hereby grants to:

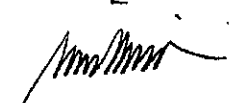
**SHARP ADVISORY LIMITED**  
(Registration Number: C 38210)

a licence pursuant to Section 6 of the Investment Services Act, 1994.

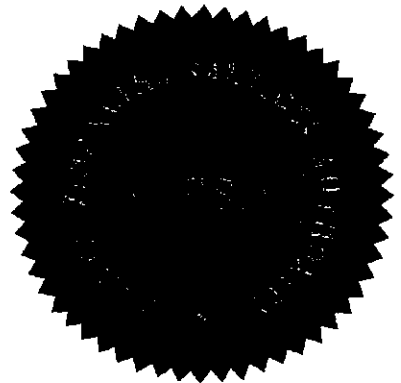
This Licence is a Category 1A licence authorising the Licence Holder to provide the Investment Services listed in Condition 2.1 but not to hold and control clients' money and assets.

This Licence supersedes Licence No.: IS/ 38210 issued on the 1<sup>st</sup> November, 2007.

The Licence is subject to the conditions attached hereto.

  
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**Director General**

Dated this 1<sup>st</sup> day of November, 2010



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The Licence No.: IS/ 38210 dated 1<sup>st</sup> November, 2010 granted to Sharp Advisory Limited by the MFSA, is subject to the following conditions:

1. The Glossary of Terms to the current Investment Services Rules for Investment Services Providers, as may be amended from time to time, applies.
- 2.1 The Licence Holder is authorised to provide the following Investment Services:
  - a. **Reception and Transmission of Orders**
    - for Retail Clients
    - for Professional Clients
    - for Eligible Counterparties
  - b. **Investment Advice**
    - for Retail Clients
    - for Professional Clients
    - for Eligible Counterparties

**in relation to Units in collective investment schemes.**

- 2.2 The Licence Holder is not authorised to provide Investment Advice to Collective Investment Schemes.
- 2.3 The Licence Holder is subject to the Standard Licence Conditions set out in Part B of the current Investment Services Rules for Investment Services Providers, as may be amended from time to time.